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| **Risk Category: Compliance (Legal or Regulatory)****Date approved:****Date Reviewed:** |
| **Risk No** | **Description of Potential Risk**  | **Description of Potential Impact**  | **Risk Owner** | **Steps to Mitigate**  | **Monitoring Frequency**  | **Likelihood****(1-5)** | **Impact****(1-5)** | **Controls****(1-3)** | **Risk Rating** |
| 1 | Increasing complexity of regulatory compliance. | Breach of regulatory compliance. | Select Vestry | Implement guidance from central church and diocese.Recruit trustees with relevant skills (or train or ‘buy in’ required skills) | Ongoing.  |  |  |  |  |
| 2 | Data Protection Compliance : antivirus software expired | Parish devices vulnerable to malware; loss of data; damage to reputation  | Select Vestry | Annual audit of software | Annual |  |  |  |  |
| 3 | Data Protection Compliance: processing data without consent  | Non-compliance of Data Protection Acts and risk of fine from DPC / ICO | Select Vestry | Annual audit of legal basis used to process personal data | Annual |  |  |  |  |
| 4 | Data Protection Compliance: data breach due to hacking, phishing, human error | Non-compliance of Data Protection Acts possible fines, reputational damage, loss of confidence from parishioners / Diocese | Select Vestry | Regular review of policies and safeguardsParticipation at data protection training programmes | On-going |  |  |  |  |
| 5 | Data Protection Compliance: Failure to comply with subject access requests | Non-compliance of Data Protection Acts possible fines, reputational damage, loss of confidence from parishioners / Diocese | Select Vestry | Regular review of policies and safeguardsParticipation at data protection training programmesRemain informed of responsibilities | On-going |  |  |  |  |
| 6 | Data Protection Compliance: introduction of new technology / CCTV etc. | Non-compliance of Data Protection Acts, data compromised, damage of reputation | Select Vestry | Undertake a Data Privacy Impact Assessment prior to any new technology or system being introduced  | On-going |  |  |  |  |