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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Risk Category: Compliance (Legal or Regulatory)**  **Date approved:**  **Date Reviewed:** | | | | | | | | | |
| **Risk No** | **Description of Potential Risk** | **Description of Potential Impact** | **Risk Owner** | **Steps to Mitigate** | **Monitoring Frequency** | **Likelihood**  **(1-5)** | **Impact**  **(1-5)** | **Controls**  **(1-3)** | **Risk Rating** |
| 1 | Increasing complexity of regulatory compliance. | Breach of regulatory compliance. | Select Vestry | Implement guidance from central church and diocese.  Recruit trustees with relevant skills (or train or ‘buy in’ required skills) | Ongoing. |  |  |  |  |
| 2 | Data Protection Compliance : antivirus software expired | Parish devices vulnerable to malware; loss of data; damage to reputation | Select Vestry | Annual audit of software | Annual |  |  |  |  |
| 3 | Data Protection Compliance: processing data without consent | Non-compliance of Data Protection Acts and risk of fine from DPC / ICO | Select Vestry | Annual audit of legal basis used to process personal data | Annual |  |  |  |  |
| 4 | Data Protection Compliance: data breach due to hacking, phishing, human error | Non-compliance of Data Protection Acts possible fines, reputational damage, loss of confidence from parishioners / Diocese | Select Vestry | Regular review of policies and safeguards  Participation at data protection training programmes | On-going |  |  |  |  |
| 5 | Data Protection Compliance: Failure to comply with subject access requests | Non-compliance of Data Protection Acts possible fines, reputational damage, loss of confidence from parishioners / Diocese | Select Vestry | Regular review of policies and safeguards  Participation at data protection training programmes  Remain informed of responsibilities | On-going |  |  |  |  |
| 6 | Data Protection Compliance: introduction of new technology / CCTV etc. | Non-compliance of Data Protection Acts, data compromised, damage of reputation | Select Vestry | Undertake a Data Privacy Impact Assessment prior to any new technology or system being introduced | On-going |  |  |  |  |